Introduction

Context

Federation Training is committed to providing a high level of customer service to its learners, potential learners, industry and other members of the community.

This procedure has been prepared in consideration of Standard 6 of the Standards for Registered Training Organisations 2015 and Principle 5 of the Victorian Training Guarantee Quality Charter.

The intent of this procedure is to encourage feedback from all stakeholders.

Purpose

To explain the Institute’s commitment to an effective complaints handling process and the action to be taken when a student, customer, staff member, client, employer or member of the public registers a comment, complaint or compliment. To explain how feedback will be utilised to drive continuous improvement.

Scope

Complaints, comments and compliments may be lodged about any matter to do with the operation of the Institute, or of third-parties providing services on behalf of the Institute.

There is an exception in the case of students who are, or would be, entitled to VET FEE-HELP assistance under clause 43 of Schedule 1A of the Higher Education Support Act 2003 (the Act), or persons seeking to enrol in a VET...
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course of study comprising VET units of study that meet the course requirements under subclause 45(1) of Schedule 1A of the Act with a VET provider and who are, or would be, entitled to VET FEE-HELP assistance under clause 43 of Schedule 1A of the Act.

These students are entitled to pursue any issues in accordance with the Federation Training Grievance, Appeals and Reviews policy (TL 034), regardless of the location of the campus at which the grievance has arisen, the learner’s or person’s place of residence or the mode in which they study.

Rationale

Principles/Statement

As a Registered Training Organisation and provider of Nationally Recognised Qualifications, Federation Training welcomes feedback from all learners, potential learners, industry and other members of the community in order to establish best practice, and to promote continuous improvement of our service.

In the first instance, Federation Training will endeavour to resolve any issue through informal processes of discussion and negotiation between the customer and relevant staff and/or management. Federation Training expects staff to fulfil their professional duty to address the concerns of customers and to act responsibly when dealing with complaints or grievances.

Federation Training will handle all complaints, grievances and feedback, including positive feedback promptly without prejudice or bias and will at all times be honest and fair in dealing with those providing complaints, grievances and/or feedback. The principles of natural justice and procedural fairness will apply.

Federation Training acknowledges that a complainant may request to be accompanied or assisted by a third party during any part of the grievance process.

No cost will be associated as a result of making a complaint, unless an independent arbitrator is required or the Administrative Appeals Tribunal is engaged.

Customer Feedback Procedure

The management of this process is delegated to the Quality and Compliance Team and particularly the Manager Policy and Quality.

1. Registration

Learners can lodge complaints or provide feedback by whatever means suits them. The Comments, Complaints and Compliments Form can be completed online or downloaded from the Federation Training Website Contact Us page. The completed form can also be submitted by email to QA@federationtraining.edu.au or hand delivered to any campus reception point.

The public can also request to lodge a complaint or grievance verbally with any Federation Training staff member, who will complete and lodge the appropriate form on your behalf.

Upon receipt, the Manager Policy and Quality or Quality Administration Officer will sign and date the "Office Use Only" section of the form.

The Quality Administration Officer will register the submission in the feedback register and allocate the next consecutive number. After determining and recording who will be responsible for the investigation, action and report, the Quality Administration Officer will send a copy to the person(s) responsible and file the original in the folder in their office.
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2. Investigation
Where investigation is required, Quality and Compliance will request the relevant Manager or Educational Manager to investigate and provide a response to Quality and Compliance or the individual who provided the feedback, as appropriate.

The investigation of complaints must include a process of verification that there is a justification for the complaint. The difference between an allegation and a substantiated claim needs to be clear and all parties must be given full opportunity to provide their view of the matter under investigation.

Any matter being investigated as a complaint must be treated with strict confidentiality and objectivity.

Serious issues will be managed and investigated by the Manager Policy and Quality. In the case of highly complex or significant complaints the investigation procedure contained in CM 026 - Managing Inappropriate Behaviour Policy will be utilised.

3. Report
Straightforward issues should be resolved, and a report generated within one week. For more complex or significant issues the default service standard will be 2-3 weeks.

Where it is considered, or where it becomes evident, that more than 60 calendar days will be required to process and finalise the complaint or appeal, Federation Training will:

- inform the complainant in writing, including reasons why more than 60 calendar days are required, and
- regularly update the complainant or appellant on the progress of the matter.

4. Follow up and closure
Once the report is received the Manager Policy and Quality will note the date on the original form and if appropriate will follow up to ensure action has been taken, is deemed to be effective and that (where appropriate) preventative strategies are in place.

If the initiator indicated they wish to be advised of the outcome and the person responsible has not yet responded to them, the Manager Policy and Quality will ensure this is done. All documents relating to the complaint or OFI will be stapled to the original registration form and filed in the folder.

Final closure of the file will occur once the follow up indicates that all action has been taken and is deemed to be effective, the initiator has been notified of the outcome and the details have been recorded in the spreadsheet. Closure will be indicated by the signature and date of the Manager Policy and Quality or Quality Administration Officer.

Federation Training will securely maintains records of all complaints and appeals and their outcomes.

5. Compensatory Action
If the Manager Policy and Quality deems that the initiator may have been disadvantaged, a recommendation will be made to the Executive Director, Education Programs, Quality & Compliance for some form of compensatory action. The final decision on compensatory action will rest with the Executive Director, Education Programs, Quality & Compliance.

6. Unresolved complaints
In cases where the initiator is not satisfied with the outcome they have the option to pursue a formal grievance through the Institute’s Grievance, Appeals and Reviews Procedure TL 034. This procedure outlines the generic grievance and appeals process and sets out the relationship between it and more specialised appeals processes.
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relating to academic assessment (Assessment Appeals Procedure TL 007) and student and staff behaviour (Managing Inappropriate Behaviour Policy CM 026).

Appointment of an Independent Review body

In the event that the applicant remains unhappy with the outcome of the review and seeks further review, Federation Training nominates the following organisation as its independent external arbitrator.

Contact person:

Mr Warwick Spargo
RSM Bird Cameron
Level 8, Rialto Towers
525 Collins Street
Melbourne Vic 3000

The applicant and Federation Training will jointly share the cost of an independent review, which will be arranged by the Executive Director Education Programs, Quality and Compliance.

Administrative Appeals Tribunal

Should the review decision not be in favour of the applicant, the applicant will be advised that they may wish to contact the Administrative Appeals Tribunal (AAT).

The AAT can be contacted as follows:

Deputy Registrar
Administrative Appeals Tribunal
Level 16, HWT Tower
Southgate, 40 City Road
SOUTHBANK VIC 3006
(03) 9282 8444

Applications to the Administrative Appeals Tribunal for review of a decision may include an application fee in excess of $750.

Applications cannot proceed until the application fee is paid or the fee has been waived. The application fee is refunded when the review is completed if the AAT decides that it is finalised in the applicants favour.

In certain circumstances, the application fee is not payable.

7. Continuous Improvement

In some instances the investigation and report may indicate the need to review existing business processes and policies or develop new ones. The Manager Policy and Quality will be responsible to ensure this is done and that Institute-wide preventive and corrective action is taken to eliminate the root cause of complaints and improve the quality of products and services.
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Reference and Supporting Information

External Legislative Context
- Higher Education Support Act 2003
- Standards for NVR Registered Training Organisations 2012
- Standards for Registered Training Organisations 2015

Internal Policy and Procedures
- Managing Inappropriate Behaviour Policy CM 026
- Grievance, Appeals and Reviews Procedure TL 034

Forms and Templates
Comments Complaints and Compliments form

Responsibility
Executive Director, Education Programs, Quality & Compliance

Please Note: For further information or assistance regarding policies and procedures contact:
The Policy Unit | Email: s.columbus@federationtraining.edu.au | Phone: 5152 0706 | URL: www.federationtraining.edu.au